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(Rev. 2-32)U.S. Department of Commerce  
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03-1106

Serial No.

09/289,550

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:

Friesen et al.

Filing Date:

4/9/1999

Group:

2164

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate

## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).

ORK	APT Brochure, LIFFE Exchange, circa 1990*
CHK	Trading Screen, INTEX of Bermuda, circa 1984*
CRK	Weber, Information Technology in the Major International Financial Markets, April 7, 1993
AK	Trading Screen, TIFFE Exchange, circa 1989-1990*
CAX	Trading Screen, MEFF Exchange, circa 1990*
AK	Cavaletti, Order Routing Article, Futures Magazine, February 1997

EXAMINER <i>Charles H</i>	DATE CONSIDERED 4/17/05
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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CMK	Aurora Article, CBOT, circa 1989*
NR	One Click Trading Options, Trading Technologies, circa 1998
CK	Trading Screen, SWX Exchange, circa 1990*
CMK	Expanding futures and options trading around the world, around the clock, GLOBEX, circa 1989*
CMK	Hansell, The computer that ate Chicago, Institutional Investor, February 1989
CK	Globex Report: An update on the CME Global electronic exchange, February 10, 1989
CMK	NYMEX Access Documents, New York Mercantile Exchange, February 28, 1992
	<del>CATS-Traders' Manual, Toronto Stock Exchange, September 30, 1977</del>
	<del>Market-Watch, Trading-Screen, date not available</del>
CMK	Grummer, Peake, Sellers, Preliminary Feasibility Study, Bermudex Ltd., November 1980
CMK	Peake, Mendellson, The ABCs of trading on a national market system, Appendix C of Preliminary Feasibility Study, Bermudex Ltd., September 1997
CMK	Peake, The last 15 meters, Appendix E of Preliminary Feasibility Study, Bermudex Ltd., June 15, 1997
CMK	Declaration of Brendan Bradley in Case No. 04 C 5312, November 18, 2004
CMK	Memorandum Opinion Published February 9, 2005, of Judge James B. Moran in Case No. 04 C 5312

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